

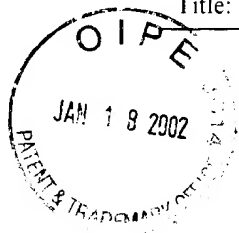
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JC07 Rec'd PCT/PTO 18 JAN 2002

09/890721

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Pomfrett, Christopher John Examiner: Unassigned  
Serial No.: 09/890,721 Group Art Unit: Unassigned  
Filed: August 1, 2001 Docket: 078986-0205  
Title: REGULATION OF ANAESTHESIA



CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on November 9, 2001.

By:

*Juanita Soberanis*

Juanita Soberanis

Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement
- ☒ Form PTO-1449
- ☒ Copies of Cited References
- ☒ Return postcard

Please charge any fees associated with this transmittal to Deposit Account No 50-0872. **A duplicate of this sheet is enclosed.**

Date: November 9, 2001

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By:

*Ted Rittmaster*

Name: Ted Rittmaster  
Reg. No.: 32,933  
TRR/js



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants:	Pomfrett, Christopher John	Examiner:	Unassigned
	Douglas		
Serial No.:	09/890,721	Group Art Unit:	Unassigned
Filed:	August 1, 2001	Docket No.:	078986-0205
Title:	REGULATION OF ANAESTHESIA		

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By: Juanita Soberanis  
Juanita Soberanis

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the issuance of a first Office Action in the above-identified application. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or

Serial No. 09/890,721

otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge fees, if any, to Deposit Account No. 50-0872.

Respectfully submitted,

Dated: November 9, 2001

By 

Ted R. Rittmaster  
Reg. No.: 32,933  
Initials: TRR/js

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Form PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 078986-0205		SERIAL NO. 09/890,721		
<b>SUPPLEMENTAL INFORMATION DISCLOSURE CITATION</b>  (Use several sheets if necessary)				APPLICANT Pomfrett, Christopher John Douglas				
				FILING DATE August 1, 2001		GROUP ART UNIT Unassigned		
<b>U.S. PATENT DOCUMENTS</b>								
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE	
	A1	4,165,312	08-21-1979	Schoenenberger	260	112.5 R	06-26-1978	
	A2	4,444,758	04-24-1984	Scherschlicht et al.	424	177	05-17-1982	
<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	A3	2 000 511 A	01-10-1979	Great Britain	7	02	x	
	A4	0 513 702 A2	11-19-92	Europe			x	
	A5	0 513 702 A3	11-19-92	Europe			x	
<b>OTHER DOCUMENT(S)</b> (Including Author, Title, Date, Pertinent Pages, Etc.)								
	A6	Article titled, <u>The Phosphorylated Analogue of DSIP Enhances Slow Wave Sleep And Paradoxical Sleep In Unrestrained Rats</u> ; Mayumi Kimura, et al.; Psychopharmacology (1989) Vol. 97 pp. 35-39						
	A7	Article titled, <u>DSIP Occurs In Free Form In Mammalian Plasma, Human CSF and Urine</u> ; Markus V. Graf, et al.; Pharmacology Biochemistry & Behavior, 1984 Vol. 21 pp. 761-766						
	A8	Article titled, <u>Delta Sleep-Inducing Peptide-Like Material In Rat Brain As Determined By Enzyme Immunoassay: Effect Of Sleep Deprivation</u> ; Shigeru Nagaki, et al.; Neuroscience Letters, (1984) Vol. 51 pp. 253-257						
	A9	Abstract No. 199699009270; Eksperimental 'naya I Klin Farmakologiya, 1996, 59 (1) pp. 8-10						
	A10	Abstract No. 199698652104; Fiziologicheskii Zhurnal Imeni I M Sechenova, 1995, 81 (2) pp. 1-6						
	A11	Abstract No. 199396115975; Fiziologicheskii Zhurnal (Kiev), 1992, 38 (4) pp. 27-32						
	A12	Abstract No. 000088122948; Patologicheskaya Fiziologiya I Eksperimental 'naya Terapiya, 1989, 0 (2) pp. 45-49						
	A13	Abstract No. 000087001742; Kardiologiya, 1988, 28 (3) pp. 89-81						
	A14	Abstract No. 000081036248; Kardiologiya, 1985, 25 (5) pp. 84-88						
	A15	Abstract No. 199294101504; Fiziologicheskii Zhurnal Imeni I M Sechenova, 1991, 77 pp. 1-13						
	A16	Abstract No. 1998-465470						
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>				
* <b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.								